

"Express Mail" mailing number EV327128352US

Date of Deposit: September 23, 2003

Our Case No.: 2003P09374US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Rickard Loftman et al.

Serial No.: To Be Assigned

Filing Date: Herewith

For: TRANSMIT BASED AXIAL  
WHITENING

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in

FORM PTO-1449	SERIAL NO. To Be Assigned	CASE NO. 2003P09374US
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)	APPLICANT(S): Rickard Loftman et al.	

### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,470,303	09/11/1984	O'Donnell		
	A2	4,881,549	11/21/1989	Rhyne		
	A3	5,675,554	10/07/1997	Cole et al.		
	A4	5,685,308	11/11/1997	Wright et al.		
	A5	6,110,115	08/29/2000	Ustuner et al.		
	A6	6,312,379	11/06/2001	Bradley et al.		
	A7	6,358,209	03/19/2002	Ustuner et al.		
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14					
	A15					
	A16					
	A17					
	A18					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants also wish to bring to the Examiner's attention the following pending United States Patent application:

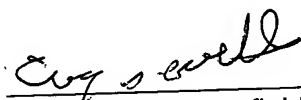
U.S. Patent Application, Serial No. 10/226,954  
(Attorney Docket No. 2002P07593US, Filed August 23, 2002)

U.S. Patent Application, Serial No. 10/441,325  
(Attorney Docket No. 2003P01170US, Filed May 19, 2003)

Applicants believe that this application either alone or in combination with the references cited in this Information Disclosure Statement neither disclose nor suggest Applicants' claimed invention. Applicants, however, respectfully request that the Examiner review the entire disclosure of this application and place an indication in the file that they have been reviewed (MPEP § 609 D). Applicants additionally request that citations to these patent applications not be printed on the patent (MPEP § 609 D). A copy of the above-referenced is enclosed for the convenience of the Examiner.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



---

Craig A. Summerfield  
Registration No. 37,947  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200